

Name of Entity

Company Registration Number

VAT Registration Number (if applicable)

Income Tax Number (if applicable)

Country of Tax Residence

Dividend Withholding Tax Status Exempt Not Exempt (Refer to the most recent terms and conditions relating to this Product. If exempt, also ensure to complete the relevant Declaration Form)

Withholding Tax on Interest Status Exempt Not Exempt (Refer to the most recent terms and conditions relating to this Product. If exempt, also ensure to complete the relevant Declaration Form)

Residential Address

 Code

Tick if postal address is same as residential address

Postal Address

 Code

Cell Phone Number

Other Contact Number

Email Address

SECTION 3: PARENT/LEGAL GUARDIAN

(Where an investment is made on behalf of a minor, the particulars of the parent or legal guardians must be furnished here.)

First Name

Surname/Registered Name

Identity/Passport Number

Gender Male Female

Relationship

Cell Phone Number

Other Contact Number

Email Address

SECTION 4: FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA)

The Foreign Account Tax Compliance Act (FATCA) is a United States federal law requiring United States persons (including those living outside the U.S.) to have yearly reported themselves and their non-US financial accounts to the Financial Crimes Enforcement Network (FINCEN), and requires all non-US (Foreign) Financial Institutions (FFI's) to search their records for suspected US persons for reporting their assets and identities to the US Treasury)

For Natural Persons

Are you a tax resident of another country other than South Africa?

Yes No

Is your Country of birth or Nationality not South Africa?

Yes No

For Juristic Persons

Is the Juristic person or its beneficiaries or shareholders registered for tax in another country other than South Africa?

Yes No

Is your Country of birth or Nationality of any other beneficiaries or shareholders not South Africa?

Yes No

If you have answered 'Yes' to any of the previous questions, please complete the Foreign Account Tax Compliance Act - Self-certification Form available from the Administrator and/or its website or from your financial advisor.

SECTION 5: METHODS OF COMMUNICATION, PROTECTION & PRIVACY OF PERSONAL INFORMATION

Method of communication

Please note that email will be used as the preferred method of communication by the Manager. Communication with the Manager by the Investor can be via electronic means, which includes email, fax and telephonic communications, or by standard post, using the details provided in Section 11 below.

Privacy of Personal Information

The Manager would like to offer you ongoing financial services and may use your personal information to provide you with information about new or current products or services.

Do you agree to receive occasional marketing information from the Administrator?

Yes No

The Manager has adopted a Protection of Personal Information Policy that sets out how it may use your personal information or obtain information about you. You may access information about you that is held by the Manager and request that any errors are corrected or the information be deleted. Please view the full Privacy notice on the Manager's website or request it from your FSP.

SECTION 6: GENERAL INVESTMENT DETAILS

Source of Funds

Salary Policy Donation Saving Investment
Inheritance Other (Please Specify below)

Source of Wealth

Salary Policy Donation Saving Investment
Inheritance Other (Please Specify below)

SECTION 7: INVESTMENT DETAILS



Note to Financial Services Provider/Advisor:

Please take note of the applicable FAIS license(s) below which is required to market this Product

Collective Investment Schemes

Category 1 (1.14) Participatory interests in collective investment schemes

FUND SELECTION

***Kindly refer to the latest Minimum Disclosure Document for investment minimums.**

Fund Names	Lump Sum Amount	Recurring Contribution	Annual Increase
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Total Lump Sum Amount		<input type="text"/>	

Additional Notes

- Distributions of less than R100.00, will automatically be re-invested;
- investor elects to re-invest these distributions or not;

Recurring Contribution Information (please mark selection)

Investment Intervals Monthly Quarterly Half Yearly Annually

Note: Annual increases are limited to whole percentage increments only. No fractionalised percentage increments or monetary amounts will be accepted.

Debit Orders are collected on the 1st of the month.

Distribution Instructions Reinvest Payout

Method of Payment (No cheques or cash accepted)

Select one method below

Monthly Debit Order
Complete the debit order details below

Electronic Collection by the Administrator
The Administrator will debit your account within two business days of receiving the application form and all relevant documents. This facility is only available for lump sum contributions.

Electronic Internet Transfer
You will receive a confirmation letter once your investor account has been opened. The bank details to use for your transfer will be confirmed in this letter. Note that electronic/internet transfers may take up to two days to appear in the Manager's inflow bank account indicated below. Lump sum investments funded by internet transfer will only be processed upon proof of deposit. Please furnish the Manager with proof of deposit via email or facsimile (see Section 12 below).

Trustee Company

RMB Custody and Trustee Services is a company registered in South Africa (with company registration number: 1929/001225/06), and is approved as a trustee company by the Financial Sector Conduct Authority to hold assets in trust on behalf of the Investor.

SECTION 9 INVESTOR DECLARATION

Financial Advice

(Please tick one option only)

- The investor, or its duly authorised signatory has appointed a Financial Services Provider, and acknowledges that he/she/ has been provided with and is aware of and understands all the Product information relating to the Product and the investments underlying the Product including the risks associated with the investment, commissions and fees applicable to the investment and the Investor's responsibilities.
- The Investor, or its duly authorised signatory has not appointed a Financial Services Provider, and the Investor acknowledges that he/she has personally established all the facts associated with this Product and is aware of and understands all the information relating to the Product and the investments underlying the Product including the risks associated with the investment, commissions and fees applicable to the investment and the Investor's responsibilities.

Note: If the Investor, or its duly authorised signatory has not appointed a financial services provider to assist the Investor with his/her investments under the Product, then the references below to a financial services provider will not be applicable for as long as no such appointment is made.

General

1. The Investor, or where applicable, the Investor's authorised signatory, by appending their signature hereto, states and declares that the Investor, or where applicable, the Investor's authorised signatory, has read and understood the terms and conditions pertaining to this investment Product and the investment media selected and warrants that all statements given by the Investor, or where applicable, the Investor's authorised signatory, in the application form are true and correct in every respect and that such statements, together with the Investment Confirmation, shall form the basis of the contract, which is to be entered into with and between the Investor, or where applicable, the Investor's authorised signatory and the Manager.
2. The Investor acknowledges that neither the Financial Services Provider nor any representative of the Financial Services Provider is an employee or agent of the Manager and that the Financial Services Provider acts as the Investor's agent.
3. The Investor further acknowledges that neither the Administrator nor any other party appointed from time to time to administer the Product (each referred to hereafter as "the Administrator") can be held liable for any act or omission of the Financial Services Provider and/or any representative of the Financial Services Provider.
4. The Investor acknowledges that he/she understands that if he/she terminates the Financial Services Provider's appointment, it is his/her responsibility to immediately advise the Manager in writing of such termination and the Manager will then cease payment of all fees, other than accrued fees, to the Financial Services Provider.
5. The Investor understands that all instructions to the Manager must be signed by the Investor or the Investor's duly authorised signatory and may not be signed by the Financial Services Provider on behalf of the Investor; provided that where the Financial Services Provider is appointed on a discretionary basis and proof of authority is provided (see section 9), the Manager shall be entitled to accept instructions from such discretionary Financial Services Provider to invest in specific securities and to vary the selection of securities.
6. The Investor confirms that the Financial Services Provider has explained and that the Investor is aware of and understands all the Product information relating to the Product and the investments underlying the Product including the risks associated with the investment, commissions and fees applicable to the investment and the Investor's responsibilities.
7. The Investor, or where applicable, the Investor's authorised signatory, by appending his/her signature hereto, states and declares that he/she has read, understood and accepted the terms and conditions pertaining to this investment. The Investor warrants that all statements made by the Investor in this application form are true and correct.
8. The Investor acknowledges that it is the Investor's responsibility to ensure the receipt of any instruction and/or document by the Manager.
9. The Investor acknowledges that there are certain requirements in terms of the Financial Intelligence Centre Act, 2001 which need to be complied with before this investment may be processed and that these requirements have been explained to the Investor by the Financial Services Provider.
10. The Investor warrants that the money which he/she is investing is not derived from the proceeds of unlawful activities, as defined in the Prevention of Organised Crime Act, 1998.
11. The Investor consents to receiving reports from the Manager on an annual basis, in the event that the value of the investments held under the Product at any time is less than R1000, on the basis that the Investor (or where applicable, the Financial Services Provider) is able to access information regarding the investment continuously via electronic means made available by the Manager.
12. The Investor warrants that it is not resident in the United States of America or its territories or resident in any other jurisdiction where investment in the Product would be unlawful or otherwise not permitted, and further warrants that the Manager does not need to make any disclosure or provide any information about investment in the Product to any party whatsoever. The Investor further acknowledges that he/she is solely responsible for informing the Manager of any changes that may impact on this warranty and to provide the Manager with the necessary declaration documentation and/or tax information.

SECTION 12 MANAGER CONTACT DETAILS**Financial Advisor and Investor Support Centre**

Telephone 0861 11 60 75
Fax 011 561 6812
Email admin@itransactfm.co.za

Head Office

Physical Address 28 Peter Place Lyme Park Sandton South Africa 2060
Postal Address PO Box 4769 Randburg South Africa 2125
Website www.itransactfm.co.za

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