



UNIT TRUST INVESTMENT APPLICATION FORM

IMPORTANT INFORMATION

- 1. Itransact Fund Managers (RF) (Pty) Ltd is the Manager of this investment and is referred to herein as "the Manager".
- 2. Automated Outsourcing Services (Pty) Ltd is an authorised Financial Services Provider and is the administrator of this investment. It is referred to herein as "the Administrator".
- 3. Please send your signed application form and supporting documents to the Manager by either Fax 011 561 6812 or Email admin@itransactfm.co.za.
- 4. The responsibility of transmitting the documents to the Manager lies with the sender. No Product application form is considered complete without all required documentation associated to this Product.
- 5. The most recent terms and conditions associated to this Product (and any additional document referred to in clause 2 thereof) form part of this application. It is the Investor/s or their duly authorised person/s responsibility to read and understand the terms and conditions, as well as such other documents that are the basis for investment into this Product, before signing this application form. The terms and conditions of this Product are available from the IFM investor support centre during business hours on 0861 11 60 75. Alternatively, you may view the terms and conditions of this Product at www.itransactfm.co.za or at Itransact Fund Managers' physical address stated in Section 12.

SECTION 1: INVESTOR DETAILS	5	
Investor Type	Individual	Company CC Trust Partnership
	Other	
Title	Mr	Ms Mrs Dr Prof The Hon
First Name or Trading Name (If a legal entity)	
Surname/Registered Name		
Company/Trust Registration Number		
Identity/Passport Number		
Occupation		
Resident of South Africa	Yes	No No
Date of Birth		
Gender	Male	Female
Income Tax Number (if applicable)		
Withholdings Tax Status (if applicable)	Exempt	Not Exempt (If you selected Exempt, please complete
		the administrators Dividend Withholding Tax Form.)
VAT Registration Number (if applicable)		
Residential/Trading Address		

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Tick if postal address is same as residential																	
Postal Address																	
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Cell Phone Number																	
Other Contact Number																	
Email Address																	
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SECTION 2: THIRD PARTY APPLICANT/																	
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Name of Entity																		
Company Registration Number																		
VAT Registration Number (if applicable)																		
Income Tax Number (if applicable)																		
Country of Tax Residence																		
Dividend Withholding Tax Status	Exempt		1	Not E	Exem	npt [rela	ating	to t	his P	rodu	uct. I	f exe	empt	nd co c, also tion F	o ens	
Withholding Tax on Interest Status	Exempt		1	Not E	Exem	npt [rela	ating	to t	his P	rodu	uct. I	f exe	empt	nd co c, also cion F	o ens	
Residential Address																		
												Co	ode					
Tick if postal address is same as residentia	al address																	
Postal Address																		
													Co	ode				
Cell Phone Number																		
Other Contact Number																		
Email Address			•	•	•		•	•	•	•	•	•						
SECTION 3: PARENT/LEGAL (CHADDIAN																	
(Where an investment is made on behalf of a			of th	0 02	ront (or loo	ים מי	ıərdi	one n	ouet l	oo fu	rnich	od b	oro.)				
First Name	Till loi, ti le partic	.uiai s	OI til	le pai	lenic (Ji leg	gai yu	Jai Gio	11 21 15	lust	Je iu	1111511	T	T	_			
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Surname/Registered Name													<u></u>	<u></u>	<u></u>	<u></u>		
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Identity/Passport Number							1								<u></u>			
Gender	Male			Fen	nale			1	ı	ı	ı							
Relationship													<u> </u>	<u> </u>	<u></u>	<u></u>	<u></u>	
Cell Phone Number																		

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Other Contact Number		
Email Address		
SECTION 4: FOREIGN ACCOUNT TAX	OMPLIANCE ACT (FATCA)	
living outside the U.S.) to have yearly reported thems	United States federal law requiring United States persons es and their non-U.S financial accounts to the Financial Crir inancial Institutions (FFI's) to search their records for suspensy)	nes Enforcement
For Natural Persons Are you a tax resident of another county other than	uth Africa? Yes	No 📗
Is your Country of birth or Nationality not South Afri	Yes	No 📗
For Juristic Persons Is the Juristic person or its beneficiaries or sharehold other than South Africa?	registered for tax in another country Yes	No No
Is your Country of birth or Nationality of any other b	eficiaries or shareholders not South Africa? Yes	No 📗
If you have answered 'Yes' to any of the previous of certification Form available from the Administrator	stions, please complete the Foreign Account Tax Complete its website or from your financial advisor.	iance Act - Self-
SECTION 5: METHODS OF COMMUNICAT	N, PROTECTION & PRIVACY OF PERSONAL IN	IFORMATION
	I method of communication by the Manager. Communi which includes email, fax and telephonic communications	
Privacy of Personal Information The Manager would like to offer you ongoing fina information about new or current products or service.	al services and may use your personal information to p	rovide you with
Do you agree to receive occasional marketing inform	on from the Administrator?	
obtain information about you. You may access inform	rmation Policy that sets out how it may use your personal ion about you that is held by the Manager and request tha e full Privacy notice on the Manager's website or request it	
corrected of the information be deleted. Please view		
SECTION 6: GENERAL INVESTMENT	TAILS	
SECTION 6: GENERAL INVESTMENT	ary Policy Donation Saving	

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SECTION 7: INVESTMENT DETAILS

Note to Financial Services Provider/Advisor:

Please take note of the applicable FAIS license(s) below which is required to market this Product

Collective Investment Schemes

Category 1 (1.14) Participatory interests in collective investment schemes

FUND SELECTION

*Kindly refer to the latest Minimum Disclosure Document for investment minimums.

Fund Names	Lump Sum Amount	Recurring Contribution	Annual Increase
Total Lump Sum Amount			
Additional Notes			
 Distributions of less than R100.00, will auto 	omatically be re-invested;		
- investor elects to re-invest these distribution	ons or not;		
Recurring Contribution Information (please mark	selection)		
Investment Intervals	Monthly Qu	arterly Half Year	ly Annually
Note: Annual increases are limited to whole percent amounts will be accepted.	tage increments only. No fr	actionalised percentage in	crements or monetary
Debit Orders are collected on the 1st of the month.			
Distribution Instructions	Reinvest [Payout	
Method of Payment (No cheques or cash accepted Select one method below	d)		
Monthly Debit Order Complete the debit order details below			
Electronic Collection by the Administrato The Administrator will debit your account documents. This facility is only available for	t within two business days	s of receiving the applicat	cion form and all relevant
You will receive a confirmation letter once transfer will be confirmed in this letter. No Manager's inflow bank account indicated processed upon proof of deposit. Please for	te that electronic/internet d below. Lump sum inve	transfers may take up to t stments funded by interr	two days to appear in the net transfer will only be

Trustee Company

below).

RMB Custody and Trustee Services is a company registered in South Africa (with company registration number: 1929/001225/06), and is approved as a trustee company by the Financial Sector Conduct Authority to hold assets in trust on behalf of the Investor.

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SECTION 8: INVESTOR BANK DETAILS

This bank account must be a South African bank account in the name of the investor or the investor's legal guardian in the case of a minor. Name of Account Holder Name of Bank Account Number Branch Name

Branch Code												
Account Type												
Tick box if debit order bank details are the same as the	e inv	esto	r ba	nk d	etai	ls ab	ove					
Name of Account Holder												
Name of Bank												

Account Number
Branch Name

Branch Code

Account Type

Debit Order Authority

- 1. I/We hereby request, instruct and authorise Itransact Fund Managers (RF) (Pty) Ltd, its successors or its assignees ("the Manager") to draw against my/our account with the bank noted above (or any bank or branch to which I/we may transfer my/our account).
- 2. I/We understand that all such withdrawals from my/our bank account shall be treated as though they have been signed by me/us personally.
- 3. I/We agree to pay any bank charges and costs relating to the debit order authority, including debit order rejection fees.
- 4. I/We acknowledge that I/we may cancel this authority by giving the Manager not less than 10 business days' written notice.
- 5. I/We agree that receipt of this instruction by the Manager shall be regarded as receipt thereof by my/our bank.
- 6. I/We acknowledge that in order to activate the debit order, the Manager must receive the debit order authority at least 10 business days prior to the first debit order date.
- 7. Please note that the debit order instruction will be accepted upon the signing of this authority by the bank account holder.
- 8. Please note that the required FICA documentation will need to be provided in the case of a third party debit order bank account, along with the specimen signature on a copy of the third party Identity Document.

			[Date ((ddm	ımyy	/yy)					
Signature of Bank Account Holder										-	-	
Print Initials and Surname												

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SECTION 9 INVESTOR DECLARATION

Financial Advice

(Plea	se tick one option only)
	The investor, or its duly authorised signatory has appointed a Financial Services Provider, and acknowledges that he/she/has been provided with and is aware of and understands all the Product information relating to the Product and the investments underlying the Product including the risks associated with the investment, commissions and fees applicable to the investment and the Investor's responsibilities.
	The Investor, or its duly authorised signatory has not appointed a Financial Services Provider, and the Investor

acknowledges that he/she has personally established all the facts associated with this Product and is aware of and understands all the information relating to the Product and the investments underlying the Product including the risks associated with the investment, commissions and fees applicable to the investment and the Investor's responsibilities.

Note: If the Investor, or its duly authorised signatory has not appointed a financial services provider to assist the Investor with his/her investments under the Product, then the references below to a financial services provider will not be applicable for as long as no such appointment is made.

General

- 1. The Investor, or where applicable, the Investor's authorised signatory, by appending their signature hereto, states and declares that the Investor, or where applicable, the Investor's authorised signatory, has read and understood the terms and conditions pertaining to this investment Product and the investment media selected and warrants that all statements given by the Investor, or where applicable, the Investor's authorised signatory, in the application form are true and correct in every respect and that such statements, together with the Investment Confirmation, shall form the basis of the contract, which is to be entered into with and between the Investor, or where applicable, the Investor's authorised signatory and the Manager.
- 2. The Investor acknowledges that neither the Financial Services Provider nor any representative of the Financial Services Provider is an employee or agent of the Manager and that the Financial Services Provider acts as the Investor's agent.
- 3. The Investor further acknowledges that neither the Administrator nor any other party appointed from time to administer the Product (each referred to hereafter as "the Administrator") can be held liable for any act or omission of the Financial Services Provider and/or any representative of the Financial Services Provider.
- 4. The Investor acknowledges that he/she understands that if he/she terminates the Financial Services Provider's appointment, it is his/her responsibility to immediately advise the Manager in writing of such termination and the Manager will then cease payment of all fees, other than accrued fees, to the Financial Services Provider.
- 5. The Investor understands that all instructions to the Manager must be signed by the Investor or the Investor's duly authorised signatory and may not be signed by the Financial Services Provider on behalf of the Investor; provided that where the Financial Services Provider is appointed on a discretionary basis and proof of authority is provided (see section 9), the Manager shall be entitled to accept instructions from such discretionary Financial Services Provider to invest in specific securities and to vary the selection of securities.
- 6. The Investor confirms that the Financial Services Provider has explained and that the Investor is aware of and understands all the Product information relating to the Product and the investments underlying the Product including the risks associated with the investment, commissions and fees applicable to the investment and the Investor's responsibilities.
- 7. The Investor, or where applicable, the Investor's authorised signatory, by appending his/her signature hereto, states and declares that he/she has read, understood and accepted the terms and conditions pertaining to this investment. The Investor warrants that all statements made by the Investor in this application form are true and correct.
- 8. The Investor acknowledges that it is the Investor's responsibility to ensure the receipt of any instruction and/or document by the Manager.
- 9. The Investor acknowledges that there are certain requirements in terms of the Financial Intelligence Centre Act, 2001 which need to be complied with before this investment may be processed and that these requirements have been explained to the Investor by the Financial Services Provider.
- 10. The Investor warrants that the money which he/she is investing is not derived from the proceeds of unlawful activities, as defined in the Prevention of Organised Crime Act, 1998.
- 11. The Investor consents to receiving reports from the Manager on an annual basis, in the event that the value of the investments held under the Product at any time is less than R1000, on the basis that the Investor (or where applicable, the Financial Services Provider) is able to access information regarding the investment continuously via electronic means made available by the Manager.
- 12. The Investor warrants that it is not resident in the United States of America or its territories or resident in any other jurisdiction where investment in the Product would be unlawful or otherwise not permitted, and further warrants that the Manager does not need to make any disclosure or provide any information about investment in the Product to any party whatsoever. The Investor further acknowledges that he/she is solely responsible for informing the Manager of any changes that may impact on this warranty and to provide the Manager with the necessary declaration documentation and/or tax information.

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Fees and Charges

The Investor acknowledges that the following fees and charges apply to investments made in the Product:

Ι.	an Initial Financial Advisor Fee, as specified below, may apply where the Investor has appointed a Financial Services Provider. This fee can be a maximum of 3% of the value of investments made in the Product, excluding VAT, and is negotiated between the Investor and the Financial Services Provider. This fee shall be payable by the Manager on behalf of the Investor to the Financial Services Provider and shall be levied against all investment contributions.
	Specify Initial Financial Advisor Fee. % (Maximum of 3% excluding VAT)
2.	An Annual Financial Advisor Fee, as specified below, may apply where the Investor has appointed a Financial Services Provider. This fee can be a maximum of 1% per annum of the value of investments held under the Product, excluding VAT, and is negotiated between the Investor and the Financial Services Provider. This fee shall be payable by the Manager on behalf of the Investor to the Financial Services Provider. The fee shall be calculated as a percentage of the daily market value of the investments held by the Investor under the Product and paid monthly, in accordance with the terms and conditions of this Product.
-	Fees and charges specified above are subject to the terms and conditions contained in the application form. Fees and charges are subject to change (see terms and conditions). Value added tax shall be charged on a fees and levies where applicable.
No	ecify the version number of the most recent terms and conditions related to this Product site that without the correct version specified below, this application cannot be processed. ERSION - IFM - IND . Date (ddmmyyyy)
Sig	gnature of Investor or duly authorised person/s
Pri	nt Initials and Surname
	Date (ddmmyyyy)
_	gnature of third party applicant or authorised representative of the legal entity etailed in Section 2 and 3 of this application form)
(de	

SECTION 10 FINANCIAL SERVICES PROVIDER DECLARATION

General

- 1. The Financial Services Provider ("FSP") through whom the application for an investment is being made confirms that the FSP and the Financial Advisor named in section 10 are licensed (in the case of the FSP) and authorised (in the case of the Financial Advisor) to provide the relevant financial services in respect of the financial Products to which this application relates. (A certified copy of the FSP licence in terms of the Financial Advisory and Intermediary Services Act, 2002, ("FAIS") must be supplied). The FSP specifically confirms that the FSP and the Financial Advisor are "fit and proper", as required by FAIS, to provide the relevant financial services in respect of the financial Products to which the application relates.
- 2. The FSP/authorised representative of the FSP by appending his/her signature hereto, states and declares the FSP/authorised representative of the FSP has read and understood the terms and conditions pertaining to this investment Product and that the FSP shall be bound by these terms and conditions insofar as such terms and conditions affect the FSP. The FSP warrants that all statements given by him/her/it in the application form are true and correct in every respect.
- 3. The FSP/Financial Advisor shall not negotiate fees in respect of the Product which are higher than the maximums stipulated on this application form.

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investments to the Investor, including, but not limited to all the fees, costs and risks involved and has made all disclosures required in terms of FAIS to the Investor. **Please Select Applicable Option:** The FSP is appointed: On a non-discretionary basis On a full discretionary basis, in which case proof of authority must be provided Date (ddmmyyyy) Signature of Investor or duly authorised person/s Print Initials and Surname **SECTION 11** FINANCIAL SERVICES PROVIDER AND FINANCIAL ADVISOR DETAILS **Financial Service Provider Details** Name of Financial Services Provider (If a legal entity) Financial Services Provider Code with Itransact Fund Managers Telephone Number Facsimile Number Cell Phone Number **Email Address** Tick the box if the details below are the same as the FSP details above **Financial Advisor/Representative Details** First Name Surname Financial Advisor/Representative Code with Itransact Fund Managers Telephone Number Facsimile Number Cell Phone Number **Email Address**

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4. The FSP further warrants and confirms that he/she/it has explained all the features of the Product and its underlying



SECTION 12 MANAGER CONTACT DETAILS

Financial Advisor and Investor Support Centre

Telephone 0861 11 60 75 Fax 011 561 6812

Email admin@itransactfm.co.za

Head Office

Physical Address 28 Peter Place Lyme Park Sandton South Africa 2060

Postal Address PO Box 4769 Randburg South Africa 2125

Website www.itransactfm.co.za

Trustee Company

RMB Custody and Trustee Services is a company registered in South Africa (with company registration number: 1929/001225/06), and is approved as a trustee company by the Financial Sector Conduct Authority to hold assets in trust on behalf of the Investor.

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